

PTO/SB/21 (09-04)

TPE	Application Number	10/764,148
%TRANSMITTAL	Filing Date	January 23, 2004
2 2 2005 FORM	First Named Inventor	GIFFORD, HANSON S.
2 2 200	Art Unit	Unassigned
(to bused for all correspondence after initial filing)	Examiner Name	Unassigned
Total Number of Pages in This Submission	Attorney Docket Numb	022128-000510US
Control of		
	ENCLOSURES (Chec	ck all that apply)
Fee Attached Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request	Drawing(s) Licensing-related Paper Petition Petition to Convert to a Provisional Application Power of Attorney, Revo Change of Corresponde Terminal Disclaimer	Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information
Express Abandonment Request Information Disclosure Statement	Request for Refund CD, Number of CD(s) Landscape Table Remarks The Commissi	Return Postcard PTO/SB/08A & PTO/SB/08B 59 Reference Copies
Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53	Account 20-14 JRE OF APPLICANT, A	
Firm Name	DRE OF APPLICANT, A	TIORNET, OR AGENT
Townsend and Townsel	nd and Crew LLP	
Signature		
	- 111	<u> </u>
Printed name James M. Heslin		
Date July 19 2005		Reg. No. 29,541
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CEF	RTIFICATE OF TRANSM	IISSION/MAILING
I hereby certify that this correspondence is being envelope addressed to: Commissioner for Pater		tes Postal Service with sufficient postage as first class mail in an VA 22313-1450 on the date shown below.
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TOWNSEND and TOWNSEND and CREW LLP

Attorney Docket No.: 022128-000510US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Hanson F. Gifford

Application No.: 10/764,148

Filed: January 23, 2004

For: METHOD AND APPARATUS FOR

NON-INVASIVELY TREATING

ATRIAL FIBRILLATION USING HIGH INTENSITY FOCUSED ULTRASOUND Examiner: Unassigned

Art Unit: Unassigned

INFORMATION DISCLOSURE

STATEMENT UNDER 37 CFR §1.97 and

§1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references [in compliance with the requirements of 1287 OG 163] are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

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representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

James M. Heslin Reg. No. 29,541

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JMH:tfw 60540174 v1



INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 8

	Complete if Known
Application Number	10/764,148
Filing Date	January 23, 2004
First Named Inventor	GIFFORD, HANSON S.
Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	022128-000510US

		T ₂	U.S. PATENT DO	TOWIL IN 131		
Examiner Initials*	Cite No. ¹	Number Kind Code ² (if known)	Publication Date MM-DD-YYYY		Patentee or Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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Examiner Signature				Date Considered	:	

Substitu	te for form 1449A/P1	го		Complete if Known			
				Application Number	10/764,148		
INFO	DRMATION	I DI	SCLOSURE	Filing Date	January 23, 2004		
STA	TEMENT E	BY A	IPPLICANT	First Named Inventor	GIFFORD, HANSON S.		
			•	Art Unit	Unassigned		
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Sheet	2	of	8	Attorney Docket Number	022128-000510US		

		T	U.S. PATENT DO	COMEN 12+		
Examiner Initials*	Cite No.1	Document Number Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Applicant of C	Patentee or lited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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				Application Number	10/764,148		
INFO	DRMATION	l DIS	SCLOSURE	Filing Date	January 23, 2004		
STA	TEMENT B	BY A	PPLICANT	First Named Inventor	GIFFORD, HANSON S.		
				Art Unit	Unassigned		
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Sheet	3	of	8	Attorney Docket Number	022128-000510US		

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Examiner Initials*	Cite No. ¹	Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional).

2 Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04.

3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

6 Applicant is to place a check mark here if English language Translation is attached.

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Signature

Substitu	ite for form 1449A/P	то		Complete if Known		
l				Application Number	10/764,148	
			SCLOSURE	Filing Date	January 23, 2004	
STA	TEMENT E	3Y A	IPPLICANT	First Named Inventor	GIFFORD, HANSON S.	
				Art Unit	Unassigned	
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Sheet	4	of	8	Attorney Docket Number	022128-000510US	

			U.S. PATENT DO	1	· · · · · · · · · · · · · · · · · · ·
Examiner Initials*	Cite No.1	Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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Examiner Signature Date Considered

Substitut	te for form 1449A/PT	o		Complete if Known			
				Application Number	10/764,148		
			SCLOSURE	Filing Date	January 23, 2004		
STA	TEMENT B	Y A	PPLICANT	First Named Inventor	GIFFORD, HANSON S.		
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(use as many sheets as necessary)				Examiner Name	Unassigned		
Sheet	5	of	8	Attorney Docket Number	022128-000510US		

	U.S. PATENT DOCUMENTS+								
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Examiner Initials*	Cite No.1	Country Code ³	oreign Patent Doo Number ⁴	cument Kind Code ⁶ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T [®]
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	180	GB	2 359 024	Α	08-15-2001	Anson Medical Inc		
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	183	_WO	90/04352	A1	05-03-1990	Nellcor Incorp.		
	184	wo	91/15996	A1	10-31-1991	Egnell Ameda Limited		
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	186	wo	93/05705	. A1	04-01-1993	Surgic-Raft Limited		

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Substitute for form 1449A/PTO				Complete if Known		
				Application Number	10/764,148	
INFO	DRMATION	I DIS	SCLOSURE	Filing Date	January 23, 2004	
STATEMENT BY APPLICANT			PPLICANT	First Named Inventor	GIFFORD, HANSON S.	
•				Art Unit	Unassigned	
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Sheet	6	of	8	Attorney Docket Number	022128-000510US	

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Examiner Initials*	Cite No. ¹	Country Code ³	oreign Patent Docu Number ⁴	ment Kind Code ⁵ (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
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	206	wo	2004/069055	A2	08-19-2004	EV3 Sunnyvale Inc.		
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	211	wo	2005/034738	A2	04-21-2005	Proximare Inc		

Examiner Signature	Date Considered	

Substitute f	or form 1449B/PT()		Complete if Known		
INIFO		DIC	CL OCUDE	Application Number	10/764,148	
			CLOSURE	Filing Date	January 23, 2004	
STAT	STATEMENT BY APPLICANT			First Named Inventor	GIFFORD, HANSON S.	
				Art Unit	Unassigned .	
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Sheet	7	of	8	Attorney Docket Number	022128-000510US	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
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Substitute	for form 1449	в/РТО		Complete if Known		
INIEO	DALATI	0N DIO	OL OCUPE	Application Number	10/764,148	
-			CLOSURE	Filing Date	January 23, 2004	
STAT	STATEMENT BY APPLICANT			First Named Inventor	GIFFORD, HANSON S.	
				Art Unit	Unassigned	
((use as many sheets as necessary)			Examiner Name	Unassigned	
Sheet	8	of	8	Attorney Docket Number	022128-000510US	

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	226	STUART, "What's All the Flap About PFO Closure?," Start-Up: Windhover's Review of Emerging Medical Ventures, (Nov 10, 2004), pp. 9-14						
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